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UNIVERSITY OF
TECHNOLOGY

Developing an academic career

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Dean, Software & Electrical
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Swinburne

▶ think **forward**



Outline

- Academic planning
- Teaching & Supervising
- Research
- Service
- Leadership
- Supervising PhDs
- [Research (and other) Planning - for another day...]
- Managing time



About me

- Academic for 21 years – 17 in NZ, 4 in Australia
- BSc(Hons), MSc, PhD all from Uni Auckland
- 12 years (so far!) as Head, Deputy Head, Dean, Deputy Dean, Director, ...
- Teaching – Software Engineering team projects, software design, project management, HCI, programming, databases
- Research – 260+ refereed publications, over \$14m NZD in external research grants, over 50 PhD and Masters by research students



Greetings / Academic or no?

- Go around room:
 - Who are you, where from?
 - Why are you an academic / want to be an academic?
 - What other options did / do you have?
 - What do you want to achieve in your career?
- Being an academic isn't the only thing you can usefully do with your life / your PhD / etc!!!
- If you hate it / its too pressured etc – get out!!
- There are LOTS of other options... esp for CS/SE academics



Academic Careers 101

- Hard to get a post-doc
- Hard (very!) to get a permanent (or even fixed-term) T&R position
- Rewarding in many ways
 - What do you find rewarding?
- Challenging in many ways
 - What do you find challenging?
- IMO – really need to PLAN to be as successful as you can be...



Planning

- 3-5 years
- Teaching: areas want to teach, specific units, teaching research/scholarship want to do, new things want to try (“innovations”)
- Research: projects want to work on, resources you need to do it, students to recruit, grants to apply for, papers to publish, networks to build – [I’ll out my Research Planning talk off my Talks page...]
- Service: YES – its important!! - areas you want to “make a difference”, ways you want to contribute, service to university vs discipline vs community vs to others...
[which one am I doing while standing here?? 😊]
- Talk about with your Dept Chair, Supervisor – they will like someone this organised!! It might need a bit of give and take...



Teaching & Supervising

- I find this the most satisfying part of my job
- EVERYONE (typically) needs to teach
- It MUST be done well (or at least satisfactory++)
- Its not rocket science
- So saying – our students are getting more diverse, challenging, have mental health challenges, are doing part-time work, are taught on-line, ...
- It's the part of your job you will 99% certain MAKE THE MOST DIFFERENCE IN THE WORLD



PhD / Masters Students

- Recruit good ones
- Look after them
- They are future “colleagues” – treat them as such
- Don’t treat them as “paper generators”
- Build up a research group (by yourself or with other PhDs / colleagues)
- Some students do really well ; most be moderately well ; some struggle – its really hard to tell which will be which!
- You would be amazed (or not) how many academics do/don’t do things above 😞



Students (cont)

- Supervise & work with students as a team – “joint supervision” concept
- Be organized – you & student (Work plans! Agendas!! Minutes!!!)
- Manage reading/writing carefully
- Manage email carefully
- Its not your PhD...
- I’ll come back to all this again shortly...



Tips

- Treat students well – they are the next generation of IT professionals – and academics!
- Be consistent – marking, feedback, treatment of students
- Be organised – students are really annoyed by disorganised lecturers
- DON'T change assessment during semester
- Answer questions promptly, be available (email or office or forum)
- Give sufficiently detailed feedback
- Get expert help for students with challenges
- You would be amazed how many academics don't (or do) many of the above... (or – maybe you wouldn't)



Research

- Its usually part of your job to do this – whether “technical” research or computing education research (or both)
- Do what you enjoy / have passion for vs what will bring the grants/students/papers – yes, I really said that 😊
- But – its an area where the bean counters can... easily count! So they do
- Typical “KPIs”
 - RHD students / completions / placements
 - Papers in high repute venues (don’t waste time on weak ones)
 - External grant income
 - Collaborations / relationships incl industry
 - And increasingly – “impact”



Publishing

- Quality, quality, quality
- I am not a fan of venue rankings / impact factor etc. I do think there is (some) merit in citations
- Avoid “junk” venues / “write-only” venues...
- Target conferences to network and have impact
- Target conferences / journals to have impact
- Journal or Conference? What ratio??
- Perseverance – not just publications but also grants – is really a massively undersold virtue...
 - About 25-30% of my papers rejected
 - About 30% of my grants rejected



Grants

- Its part of your job to get external resourcing... especially these days of tight budgets
- Identify your strengths, target realistic things, get help – lots of it!
- Matthew effect – be co-CI with experienced person
- Planning really helps – what do you need to do to become competitive (may take years to build up to)
- All money spends just as good – lots in industry to be had if you learn the ways to get it/build relationships
- If at first you don't succeed... (me: >20 rejects; 34 successes)



Collaboration & Networking

- Good relationships will really help you:
 - References for jobs / refereeing for grants, papers
 - Collaboration on papers, grants
 - Co-supervision
 - Help make your work better known
- Really need to develop these from PhD days
- Multi-disciplinary research – two schools of thought...
- Watch out for exploiters! (sadly)
- Today / this week is a great time to start :-D
- Write a blog if you feel like it, join ResearchGate, linkedin etc
 - “Altmetrics” – fad or future??



Tips

- Prioritize – what is most important? Enjoyable? Long-term impact??
- Focus on quality
- Mix of journals and conferences is good
- Develop a thick skin (referees can be v. brutal!!)
- Develop perseverance – you'll need it!
- Recruit, develop, work with, look after RHD students
- Develop mutually beneficial collaborative relationships



Service

- No one becomes an academic to do service (well – almost no one!)
- BUT – it's a really important part of academic life
- Lots of kinds:
 - Service to uni – committees, course co-ordinator, associate Dean, HoD, Dean etc
 - Service to discipline – refereeing, organising conferences, Associate Editor/Editor etc
 - Service to community incl industry – consulting, short courses, schools, NGOs, professional societies, etc
- Its important and it can be very satisfying – yes, I said that too!



Leadership

- Big “L” and little “I”...
- Personally – I have found this to be a very satisfying part of my academic service (I suppose why I keep doing!)
 - The HR meetings even aren’t always THAT bad – although...
- Have a chance to make a much larger difference
- Have a chance to mold others careers / directions (for the better)
- Are “in the loop” for changes etc
- Like all service – MUST DO IT TO BEST ABILITY
- If I do a bad job as Dean / HoD – EVERYONE suffers!!!



Tips

- Service is not why we became academics – but it IS important part of being an academic
- Increasingly difficult to get promoted without a good service portfolio
- Not just refereeing – organizing, uni service, service to wider community
- There should be (serious) consequences to those who don't do or don't do it / do it well (and increasingly there is)
- Its actually (mostly) enjoyable work – yes, its true!!



Moving jobs (within or out of or into academia...)

- Sometimes you are a round peg in square hole
- Sometimes “better” opportunities arise
- Sometimes family or other considerations outweigh professional considerations (e.g. my UofA -> SUT...)
- Moving institution is HARD, no matter what support given
 - And moving countries even harder!
- Moving to industry / from industry much harder again
- Sometimes its best to stay put
- Sometimes its best to go



Tips

- Don't burn bridges!!! No matter how upset, under-appreciated, etc you might feel...
 - The grapevine has a way of making it all known too
- Look VERY carefully before you leap
- ASK for things you want / need – don't be shy!!
- Get EVERYTHING you want / are promised in writing (not email – written, signed contract)
- Grass is most certainly not always greener
- It can be really hard (if not impossible) to go back
 - Especially if leave academia



Work to live, not live to work

- There is MUCH more to life than work...
 - E.g. I have wife, 5 children
 - They are WAY more important than – what I am doing now 😊
- It's a tragedy when work comes to dominate your time/life
- Maintain outside work/professional area interests
- Maintain outside contacts, friends, activities
- Strive for BALANCE in life – work is one component

Please – get help if you find you need it (stress, financial, relationship, conflict, ...)



Supervision



Outline

- The problem...
- My supervision philosophy
- Research Group & joint supervision
- Work plans
- Writing (and reading!) training
- Meetings – group and individual
- Email
- Career development



The Problem

- My example:
 - Head of school & Director of research centre
 - Associate editor, Trans on SE, IEEE Software
 - On several steering committees, many conf committees
 - Write 10-15 papers per year
 - Teach
 - And...
- Supervise ~10 PhDs; 2-3 honours projects; 3-4 small team project groups
 - How does one manage to do a good job of this???



My (PhD) Supervision Philosophy

— Student

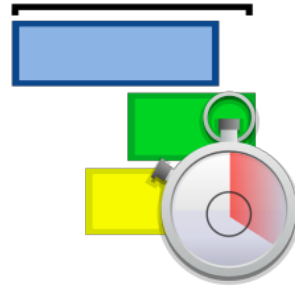
- Junior colleague
- Mentor/mentoree
- Student makes the decisions; I advise
- Want to develop next generation of academics; next generation of industry R&D researchers
- PhD = research apprenticeship
- I even have a picture to show this ... 😊

John G's supervision Philosophies...

Swinburne



SCJC



YPNM



YMTD



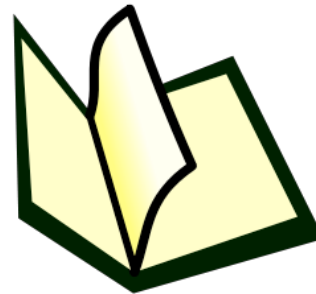
DGEE



ITGT



PIGT



WIYT



OPSP



Tip 1 - Research Group/Joint supervision

- Get yourself a research group – create one with someone else if need to
 - Several students
 - 1-2 post-docs
 - 1-2 research assistants
 - 1-2 colleagues
- Get yourself a “joint supervisor”
 - Joint vs co-supervisor...
 - Joint supervision = joint planning; joint writing; joint meetings; joint problem-solving; joint everything
 - Hey – who was doing the paper work again...?



Tip 2 - Work Plans

- Organized people = (generally more) successful people
- Disorganized students = hard work
- A PhD is a project to manage (in essence, anyway)
- I like to have for every student:
 - Work plan (from proposal stage onwards)
 - Weekly report on progress/current/planned work
 - Discuss plan with student, joint supervisor, other students (in group)
 - Revise thesis work plan regularly (every 1-2 months)



Tip 3 - Writing (and reading!) training

- I like students to have thesis outline early (from start?!) in project
- Iterate on reports, papers, chapters:
 - Purpose/outline (10-15 bullet points)
 - Flesh out with illustrations, paragraph points
 - Share writing (papers)
 - Review chapter draft ONCE (unless very poor...)
- Reports VERY brief/to the point – I prefer PPT, “poster”, 1 pager, bullet points vs paragraphs
- Literature review report one exception – in 1st 3-4 months; basis of lit review chapter; read lots!



Tip 4 - Meetings

- Group meetings throughout project
 - Lunch 😊, short reports, demos, workshop papers etc
- Weekly then as-needed
- Agenda up front (else no meeting)
- Action items
- Keep record of (prefer wiki)
 - Keep documents organized with



Tip 5 – Managing Email

- I am a 150-200 a day (after spam deleted)!! :-o
- To the point; short; as needed
- Prefer meeting with PhD students 😊

- Delete them all if they get overwhelming – if its really important then they'll come back...



How Students Can Help You...

- Supervision... (!!)
- Helping new students to acclimatize: library, lab, PC support, accommodation, networks...
- Teaching
- Admin
- Industry projects
- Visitors e.g. Chinese delegation example
- Career

- And of course – choose the right students!!!



Summary

- Prioritize – what is most important? Enjoyable? Long-term impact??
- Supervise & work with students as a team
- Be organized – you & student (plans!)
- Manage reading/writing carefully
- Manage email carefully
- Its not your PhD...



Research Planning



Outline

- Motivation
- Background
- The concept of the “Research Plan”
- Elements of a RP
- Using a RP – mentor and mentoree
- How NOT to use a RP...
- Summary



Motivation

- Research is the element of academic life many (most in my experience...) academics enjoy the most
- We also, like most people, like to be “successful”:
 - advance science & engineering knowledge and practice;
 - publish papers in high repute venues;
 - secure grants to resource our research;
 - attract and work with talented students and colleagues;
 - advance our careers commensurate with this success;
 - have “impact” with our research – ultimately changing the field in which we work



But...

- Its (a lot) harder than we thought, were led to believe...
 - Competition for grants
 - Competition for resources
 - Finding and supervising students, post-docs
 - Building effective collaborations
 - Writing papers
 - Getting papers published
 - Need to spend time on other tasks
 - Lack of time



Background

- When I was Deputy Head Research for the Dept of Electrical & Computer Engineering at the University of Auckland...
- ...we found ECRs (and many others – including several Profs!) were struggling to advance their research careers
- Dept (and Faculty) were struggling to improve research performance
- No effective mentoring programme existed
- We wanted to assist them – work with them and better support them individually and at Dept/Faculty levels



Background

- After talking with many researchers:
 - Found many had no clear idea where they were going, where they wanted to get to, where they were at now – nor what they were capable of
 - Found many discouraged by lack of grant success, rejections of papers, struggling to recruit good RHD students, struggling to develop effective collaborations
 - Found all had excellent training in scientific and engineering methods, many significant project management experience
 - Found most had no structured approach whatsoever to their work – research or teaching or professional development
 - Found they seldom conveyed this to HoDs, ADRs...



The concept of the “Research Plan”

- Actually ***think*** about one’s (research) career: where at; going; want to get to; how to get there
- Capture key research goals, objectives, metrics – short range (1-2 years) and longer (3-5 years)
- Identify key needs, inhibiting issues
- Provide a mentor to work with researcher to assist
- Use to set and report PDR-style objectives
- Feed individual goals into research group, Department, Faculty planning processes (resourcing, external grant support, internal funding schemes, sabbaticals, etc)



Elements of a Research Plan

- The form I use here is a Departmental RP developed by myself and Dr Jim McMillan, research advisor to the Faculty of Engineering, University of Auckland
- Had other RPs: ECR-specific; for non-research active staff (to help them develop research activity); for HoDs (to capture DEPARTMENT RP vs individual...)
- Key Elements:
 - Research Vision and Directions
 - Statement of goals: “faculty” and “department”
 - Needs/limitations



Vision and directions

- Articulate these:
 - Research statement: area, key achievements to date, focus
 - Recent, current projects, key achievements
 - Key medium-term goals: “big hit” paper; promotion; grant; membership; editorial board; ...
 - Key long-term goals
- We augmented this with a research grant abstract
 - Make people think about key short-term grant to achieve
 - Expected to submit – might need collaborators
 - Idea was to increase # and quality of grants from Faculty
 - Could apply to other outcomes e.g. RHD recruitment, industry, ...



Goals

- “Faculty level”:
 - Publications to submit – title, venue
 - Funding applications to submit – topic, granting body, collaborators
 - “research associates” – Hons, RHD, post-doc, ...
- “Department level”:
 - Incoming visitors – who, why, likely outcomes, how fund
 - Visits – where, why, likely outcomes, how fund
 - Conferences – which, why, likely outcomes, how fund
 - Involvement with Industry and Consulting – which companies, expected outcomes



Issues

- Limitations – what is limiting your research programme???
- Needs – state key needs (short term and longer term) – often described as “CAPEX Dreams” (i.e. equipment) by Engineers!
- Ways the Department / Faculty / University can help – just like it says!
 - We **really** wanted answers to this!
 - We couldn't “fix” all of these of course – but as an HoD/ADR/mentor its really, really useful to know and discuss...



Using a RP

- You can develop & use by yourself
 - I set my own research plan each year & review each year
- You can use with a research mentor – helps to set the scene for conversation
- Can augment the Academic Performance Review – again as a conversation piece, NOT a metrics-driven set of too weak / too ambitious targets...
- Very useful for feeding into Dept/Faculty planning – very hard to get this info otherwise
 - E.g. how can we best spend Dept/Faculty research \$?



How not to use...

- As a bureaucratic exercise – “I’ve done my RP, now to file it away...” [This is easier said than done btw...]
- As a record of research planning – but with no action by researcher, mentor, HoD, ADR, Dean...
- Under-ambitious – if don’t stretch oneself, will never achieve full potential
- Over-ambitious - need also to be realistic and work within one’s capabilities; play to one’s strengths
- To the exclusion of other academic goals:
 - Teaching – should have a plan too!
 - Leadership and service – should have a plan too!



Summary

- Academics – especially Engineering academics - are notoriously bad planners of their own careers, research
- A research plan forces one to identify current performance and behaviors and desired ones – and ways to start getting there
- A research plan helps articulate in a structured way these visions, directions, goals, limitations
- Instead of wanting “better research performance” as an individual or Dept/Faculty – actually does something about it... (“walk the talk” as we say in New Zealand...)



And finally – my tips for managing a busy life...



Slightly more detail about me...

- 3 current ARC grants, 1 NICTA grant
- 11 PhDs (6 main, 5 2nd / 3rd)
- [Currently not teaching a unit, but usually I do]
- 12 staff reporting directly to me (over 70 indirectly)
- 25 papers published / accepted in 2013 (10-30 pages each, 2-4 authors each)
- Over 100 papers refereed 2013
- Over 20 meetings per week on average 2013
- On the FICT workload model, I have been over 2,000 hours allocated each of the last four years (max is theoretically 1620 hours)

... and Dean of School of Software & Electrical Engineering from Jan 2014!!!



...and yet

- I don't work on the weekends
- I don't work in the evenings
- I have been Head / Dep Head / D Dean / about-to-be Dean for 12 of the past 13 years
- I'm the most productive researcher in SUCCESS (pick whatever metrics you want)
- I get excellent teaching evals
- I'm seen as a very reliable administrator (i.e. I get things done on time / good quality)
- I look after people (students, staff) pretty well (IMO anyway)



So how do I do it???

~~Crazy genius-type?~~

~~Completely self-deluded?~~

✓ ✓ Well-organised?

✓ Delegate well?

✓ ✓ ✓ Prioritise, prioritise, prioritise



Organised

- Use Outlook calendar very extensively
- Use reminders on laptop, iPhone extensively
- To-dolists DON'T work for me - I use my email inbox as my “to-dos” and “book” time in my calendar for specific tasks
 - If inbox scrolls off screen, I know I’m in big trouble!! 😊
- Try and estimate time carefully for tasks – not under, but not over either
- Balance effort on different tasks
- I stop after allocated time up – good enough is good enough!!!
- I just say when I can’t do things – happens often!
- Try out keeping timesheet, different ways to organise your time



Delegation

- Starting to learn how to work well with an Executive Assistant!!!
(its taken years)
- Starting to learn how to rely on others heavily (and appropriately)
– students, post-docs, colleagues, family – helping them and myself
- Allowing others to make mistakes is generally a helpful thing for me and them
- Need to give authority with responsibility
- People will repay your confidence in them (many times over)
- Pretty good at NOT delegating when shouldn't (for range of reasons)



Prioritisation

- Family ALWAYS comes first
- People ALWAYS come first – world can survive pretty well without yet another John G paper I have found...
- 80/20 rule really works – its working out which is which is the hard bit!
- Some admin stuff despite being boring / frustrating / annoying HAS to be done and HAS to be timely – for everyone's sake
- Some things just can't be not done / done late / poorly done
- Sometimes have to let some tasks / people down
- Some things just don't get done – if its 4.45pm Friday, it can wait to Monday!!! (well, it will just have to!)



Finally...

- Life is too short to be under stress / pressure all the time
- Work needs to be enjoyable / fun
- Need to reduce the bad stuff / boring stuff / frustrating stuff as much as can, BUT do need to work out ways to cope with a certain amount of it – or find something else to do!
- Performance expectations have gotten out of hand in many fields including academia in recent years (well > 10!)...
- ... but people are often their own worst enemies in terms of mis-spent time, lack of planning, lack of prioritisation
- There is more to life than work – much more!



- I could have written more, tidied this up, made notes, etc etc etc

- But had other things needed to do!

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Questions? Discussion...

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